

FILE COPY

520.37464X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: AKIFUJI, et al

Serial No.: 09/377,402

Filed: August 20, 1999

For: WORKFLOW SYSTEM, WORKFLOW CONTROL METHOD AND
STORAGE MEDIUM

Attn: Filing Receipts



RECEIVED
FEB 10 2000
TECH CENTER 2700

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

January 18, 2000

Sir:

Attached hereto is the Official Filing Receipt for the above-referenced application, wherein it is noted that the third, fourth, fifth, sixth and seventh inventors name and residence are incorrect; the eighth inventor is missing; the Attorney Docket No. is incorrect and the customer number is missing.

Accordingly, it is respectfully requested that a Corrected Filing Receipt be issued indicating the third, fourth, fifth, sixth, seventh and eighth inventors name and residence to read as indicated on the attached Declaration:
--YOKO SEKI, KAWASAKI-SHI, JAPAN; MASATO TAMAKI, ZUSHI-SHI, JAPAN; ATSUSHI AOKI, YOKOHAMA-SHI, JAPAN; YOSHIKI MATSUDA, MINATO-KU, JAPAN; OSAMU TOSAKA, YOKOHAMA-SHI, JAPAN; SHIGEKI ISHIHARA, CHIGASAKI-SHI,

JAPAN; the Attorney Docket No. to be --520.37464X00-- and the
customer number 020457 above the correspondence address.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP



Carl I. Brundidge
Registration No. 29,621

CIB/jdc
(703) 312-6600

FILE COPY

SERIAL NUMBER 09/377,402	FILING DATE 08/20/99	CLASS 705	GROUP ART UNIT 2764	ATTORNEY DOCKET NO. 520.3746X00
APPLICANT SHUNSUKE AKIFUJI, WAKO-SHI, JAPAN; TOSHIAKI SAKAGUCHI, KAWASAKI-SHI, JAPAN; YOKO SEKI, KAWASAKI-SHI, JAPAN; MASATO TAMAKI, ZUSHI-SHI, JAPAN; ATSUSHI AOKI, YOKOHAMA-SHI, JAPAN; YOSHIKI MATSUDA, MINATO-KU, JAPAN; OSAMU TOSAKA, YOKOHAMA-SHI, JAPAN; SHIGEKI ISHIHARA, CHIGA SAKI-SHI, JAPAN.				
CONTINUING DOMESTIC DATA*** VERIFIED				
371 (NAT'L STAGE) DATA*** VERIFIED				
FOREIGN APPLICATIONS*** VERIFIED JAPAN 10-237628 08/24/98				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED 09/01/99				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <u>Examiner's Initials</u> <u>Initials</u>		STATE OR COUNTRY JPX	SHEETS DRAWING 13	TOTAL CLAIMS 9
INDEPENDENT CLAIMS 2				
ADDRESS ANTONELLI TERRY STOUT & KRAUS 1300 NORTH SEVENTEENTH ST SUITE 1800 ARLINGTON VA 22209				
TITLE WORKFLOW SYSTEM, WORKFLOW CONTROL METHOD AND STORAGE MEDIUM				
FILING FEE RECEIVED \$760	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

RECEIVED
FEB 10 2000
TECH CENTER 2700

FILING RECEIPT

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/377,402	08/20/99	2761	\$760.00	520.3746X00	13	9	2

020457

$$520.37464 \times 100$$

ANTONELLI TERRY STOUT & KRAUS
1300 NORTH SEVENTEENTH ST
SUITE 1800
ARLINGTON VA 22209

520 37464X00
FEB 1
RECEIVED

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) SHUNSUKE AKIFUJI, WAKO-SHI, JAPAN; TOSHIAKI SAKAGUCHI,
KAWASAKI-SHI, JAPAN; ~~MASATO TAMAKI~~ SEKI, ~~YOKOHAMA-SHI~~ YUCHI-SHI, JAPAN;
~~ATSUSHI AOKI~~, ~~YOKOHAMA-SHI~~ YOSHIKI MATSUDA, ATSUSHI AOK
~~MINATO-KU~~, JAPAN; ~~OSAMU TOBATA~~, YOKOHAMA-SHI, JAPAN;
~~SHIGEKI ISHIHARA~~, ~~CHIGASAKI-SHI~~, JAPAN. & SHIGEKI ISHIHARA,
OSAMU TOBATA YOKOHAMA-SHI Chigasaki-shi, JAPAN

FOREIGN APPLICATIONS- JAPAN

10-237628

08/24/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 09/01/99

TITLE

WORKFLOW SYSTEM, WORKFLOW CONTROL METHOD AND STORAGE MEDIUM

PRELIMINARY CLASS: 705

DATA ENTRY BY: ROBINSON, YOLANDA TEAM: 06 DATE: 09/01/99

(See reverse for new important information)

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE ---- The Following Information about the Filing Receipt:

The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

The words "new," "improved," "improvement," "improvements in or relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement.

The title may be truncated if it consists of more than 4 lines of 70 characters each (letters and spaces combined).

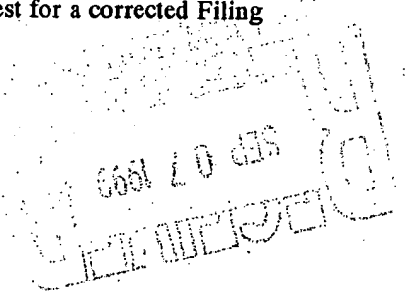
The inventor information may be truncated if the family name consists of more than 25 characters (letters and spaces combined) and if the given name consists of more than 25 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

The docket number allows a maximum of 12 characters.

If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Customer Address may have been modified to conform to U.S. Postal rules.

Please direct correction, including a copy of your Filing Receipt, to:
Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

WORKFLOW SYSTEM, WORKFLOW CONTROL METHOD AND STORAGE MEDIUM

the specification of which (check one)

☒

is attached hereto.

☐

was filed on _____
as Application Serial No. _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

10-237628 (Number)	Japan (Country)	24/Aug./1998 (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status: patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status: patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status: patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status: patented, pending, abandoned)

I hereby appoint as principal attorneys; Donald R. Antonelli, Reg. No. 296; David T. Terry, Reg. No. 20,178; Melvin Kraus, Reg. No. 22,466; Stanley A. Wal, Reg. No. 26,432; William I. Solomon, Reg. No. 28,565; Gregory E. Montone, Reg. No. 28,141; Ronald J. Shore, Reg. No. 28,577; Donald E. Stout, Reg. No. 26,422; Alan E. Schiavelli, Reg. No. 32,087; James N. Dresser, Reg. No. 22,973 and Carl I. Brundidge, Reg. No. 29,621 to prosecute and transact all business connected with this application and any related United States application and international applications. Please direct all communications to the following address:

Antonelli, Terry, Stout & Kraus
Suite 1800
1300 North Seventeenth Street
Arlington, Virginia 22209
Telephone: (703) 312-6600
Fax: (703) 312-6666

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	(Full Name)	(Signature)
Date <u>July 28, 1999</u>	Inventor <u>Shunsuke AKIFUJI</u>	<u>Shunsuke Akifuji</u>
Residence <u>Wako-shi, Japan</u>		Citizenship <u>Japan</u>
Post Office Address <u>1-17-10 Shirako, Wako-shi, Saitama-ken 351-0101, Japan</u>		
Date <u>July 28, 1999</u>	Inventor <u>Toshiaki SAKAGUCHI</u>	<u>Toshiaki Sakaguchi</u>
Residence <u>Kawasaki-shi, Japan</u>		Citizenship <u>Japan</u>
Post Office Address <u>#B-3, 3-14-2 Miyazaki, Miyamae-ku, Kawasaki-shi, Kanagawa-ken 216-0033, Japan</u>		
Date <u>July 28, 1999</u>	Inventor <u>Yoko SEKI</u>	<u>Yoko Seki</u>
Residence <u>Kawasaki-shi, Japan</u>		Citizenship <u>Japan</u>
Post Office Address <u>#304 Ryumieru-miyamaedaira, 1-7-2 Miyamaedaira, Miyamae-ku, Kawasaki-shi, Kanagawa-ken 216-0006, Japan</u>		
Date <u>July 29, 1999</u>	Inventor <u>Masato TAMAKI</u>	<u>Masato Tamaki</u>
Residence <u>Zushi-shi, Japan</u>		Citizenship <u>Japan</u>
Post Office Address <u>7-11-13 Sakurayama, Zushi-shi, Kanagawa-ken 249-0005, Japan</u>		
Date <u>July 29, 1999</u>	Inventor <u>Atsushi AOKI</u>	<u>Atsushi Aoki</u>
Residence <u>Yokohama-shi, Japan</u>		Citizenship <u>Japan</u>
Post Office Address <u>#B304 Hitachi-harajuku-apato, 2830 Izumi-cho, Izumi-ku, Yokohama-shi, Kanagawa-ken 245-0016, Japan</u>		
Date <u>July 29, 1999</u>	Inventor <u>Yoshiki MATSUDA</u>	<u>Yoshiki Matsuda</u>
Residence <u>Minato-ku, Japan</u>		Citizenship <u>Japan</u>
Post Office Address <u>#510, 8-6-9 Akasaka, Minato-ku, Tokyo 107-0052, Japan</u>		
Date <u>July 30, 1999</u>	Inventor <u>Osamu TOSAKA</u>	<u>Osamu Tosaka</u>
Residence <u>Yokohama-shi, Japan</u>		Citizenship <u>Japan</u>
Post Office Address <u>#201 Pia-shiohara-1, 35-4 Kubo-cho, Nishi-ku, Yokohama-shi, Kanagawa-ken 220-0061, Japan</u>		
Date <u>July 30, 1999</u>	Inventor <u>Shigeki ISHIHARA</u>	<u>Shigeki Ishihara</u>
Residence <u>Chigasaki-shi, Japan</u>		Citizenship <u>Japan</u>
Post Office Address <u>3-8-12-703 Kowada, Chigasaki-shi, Kanagawa-ken 253-0012, Japan</u>		
Date _____	Inventor _____	
Residence _____		Citizenship _____
Post Office Address _____		
Date _____	Inventor _____	
Residence _____		Citizenship _____
Post Office Address _____		